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9-16-03

PTO/SB/81 (05-03)

Approved for use through 11/30/2005. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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|                        |                            |
|------------------------|----------------------------|
| Application Number     | 10/024,273                 |
| Filing Date            | December 17, 2001          |
| First Named Inventor   | Kim, B.                    |
| Title                  | Subassembly for use in ... |
| Art Unit               |                            |
| Examiner Name          |                            |
| Attorney Docket Number | 01PAR003                   |

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| Karl D. Kovach    | 40,278              |
| Steven Evans      | 35,503              |
| Robert M. Barrett | 30,142              |
| David Newman      | 37,196              |

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Karl D. Kovach

Address

Stratos Lightwave, Inc.

Address

7444 West Wilson Avenue

City

Chicago

State

Illinois

Zip

60706

Country

USA

Telephone

(708) 867-9600

Fax

(708) 867-7690

I am the:

Applicant/Inventor.

Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

### SIGNATURE of Applicant or Assignee of Record

Name

James W. McGinley

Signature

*James W. McGinley*

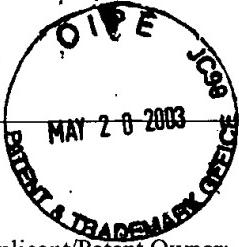
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Telephone (708) 867-9600

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

\*Total of \_\_\_\_\_ forms are submitted.

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## STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Kim, et al./ Stratos Lightwave, Inc.

Application No./Patent No.: 10/024,273 Filed/Issue Date: December 17, 2001

Entitled: Subassembly for Use in Fiber Optics Communications

Stratos Lightwave, Inc., a corporation

(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1.  the assignee of the entire right, title, and interest; or

2.  an assignee of less than the entire right, title and interest.

The extent (by percentage) of its ownership interest is \_\_\_\_\_ %.  
in the patent application/patent identified above by virtue of either:

A. [ ] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B.  A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Brian Kim To: Paracer, Inc.

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2. From: Lee L. Xu To: Paracer, Inc.

The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: Hengju Cheng To: Paracer, Inc.

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Additional documents in the chain of title are listed on a supplemental sheet.

Copies of assignments or other documents in the chain of title are attached.

[NOTE: a separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO.  
SEE MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

5/16/03

Date

(708) 867-9600

Telephone number

James W. McGinley

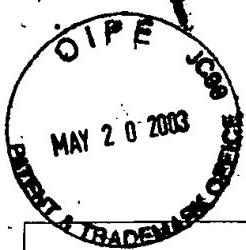
Typed or printed name

James W. McGinley

Signature

President, CEO of STRATOS LIGHTWAVE, INC.  
Title

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## SUPPLEMENTAL SHEET IN SUPPORT OF FORM PTO/SB/96

### Statement under 37 CFR 3.73(b)

Applicant/Patent Owner: Kim, et al. / Stratos Lightwave, Inc.

Application No./Patent No.: 10/024,273 Filed/Issue Date: December 17, 2001

Entitled: Subassembly for Use In Fiber Optics Communications

Stratos Lightwave, Inc., a corporation.  
(Name of Assignee) (Type of Assignee, e.g., corporation partnership, university, government agency, etc.)

B.  A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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5. From: Jesse Chin To: Paracer, Inc..  
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6. From: Paracer, Inc. To: Stratos Lightwave, Inc..  
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SUPPLEMENTAL SHEET IN SUPPORT OF FORM PTO/SB/96

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**ASSIGNMENT OF PATENT APPLICATION AND INVENTION**

WHEREAS, Brian Kim, of Fremont, State of California, and Lee L. Xu, of Cupertino, State of California, and Hengju Cheng of Mountain View, State Of California, and Darren Crews of Cupertino, State of California and Jesse Chin of Hillsborough, State of California hereinafter referred to as "inventors", have invented certain new and useful improvements in:

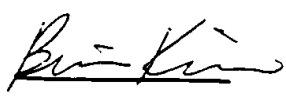
**Subassembly For Use In Fiber Optic Communications**

which invention or inventions (hereinafter referred to as "invention") have been disclosed to and filed, under Docket No. 01PAR003, in the patent files of the inventor's employer, PARACER, INC., and which invention is covered by a patent application, executed and filed concurrently herewith.

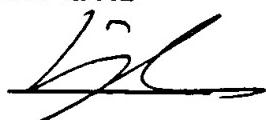
It is now agreed that, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, said inventors have sold, assigned and transferred and by these presents sell, assign and transfer unto PARACER, INC., the entire right, title and interest in and to said invention and said application and any patents which may be granted therefore and any divisions, continuations, substitutions or reissues of such application or patent, for the territory of the United States of America and for all foreign countries; said invention and application to be held and enjoyed by the said, PARACER, INC., for its own use and for the use of its successors and assigns, to the full end of the term for which Letters Patent on same may be granted, as fully and entirely as the same would have been held by said inventors had this assignment and sale not been made.

Executed at City of Santa Clara, County of Santa Clara and State of California,  
this 17th day of December, 2001.

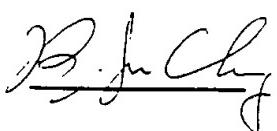
Brian Kim



Lee L. Xu



Hengju Cheng

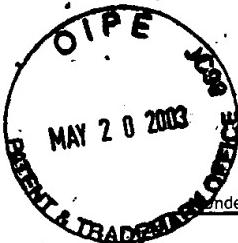


Darren Crews



Jesse Chin





PTO/SB/82 (05-03)

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## REVOCATION OF POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

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|------------------------|-------------------|
| Application Number     | 10/024,273        |
| Filing Date            | December 17, 2001 |
| First Named Inventor   | Kim, B.           |
| Art Unit               |                   |
| Examiner Name          |                   |
| Attorney Docket Number | 01PAR003          |

I hereby revoke all previous powers of attorney or authorizations of agent given in the above-identified application:

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I am the:

Applicant/Inventor.

Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

### SIGNATURE of Applicant or Assignee of Record

|           |                   |           |                |
|-----------|-------------------|-----------|----------------|
| Name      | James W. McGinley |           |                |
| Signature |                   |           |                |
| Date      | 5/16/03           | Telephone | (708) 867-9600 |

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

\*Total of \_\_\_\_\_ forms are submitted.

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